



JP-2834

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of :  
Takashi YAMANAKA et al. :  
Serial No. 09/143,318 : Group Art Unit: 2834  
Filed: August 28, 1998 : Examiner: Mark O. Budd  
For: ULTRASONIC MOTOR AND :  
ELECTRONIC DEVICE WITH :  
ULTRASONIC MOTOR : Docket No. S004-3484 (CPA)

*Handwritten signature and date 8-30-99*

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COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT  
UNDER PATENT RULES 97 AND 98

S I R:

Applicants submit this information disclosure statement pursuant to 37 CFR §§1.97 and 1.98.

The present application was filed more than three months prior to the filing of this statement. However, as of the date of filing of this statement, neither a final action under 37 CFR §1.113 nor a notice of allowance under 37 CFR §1.311 has been mailed in this application. Accordingly, this information disclosure statement is being filed under 37 CFR §1.97(c) and is accompanied by the following 37 CFR §1.97(e) certification:

MAILING CERTIFICATE ON  
LAST PAGE

**Rule 97(e) Certification**

Applicants' undersigned attorney, pursuant to 37 CFR §1.97(e), hereby certifies that each item of information disclosed herein was cited in a Search Report of a foreign Patent Office in applicants' counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

**Information Disclosure Statement**

Pursuant to 37 CFR §1.98, a list of patents, publications or other information submitted for consideration by the PTO is provided on annexed Form PTO-1449. A legible copy of each item of information listed on the Form is submitted herewith together with an English translation of the pertinent parts of the foreign Search Report which cited each item of information.

As to each item of information which is not in the English language, a concise explanation of the relevance thereof pursuant to 37 CFR §1.98(a)(3) is given in the foreign Search Report which cited the information and an English translation of the portion(s) of each item of information referenced in the Search Report is also enclosed.

Consideration of this information disclosure  
statement is respectfully requested.

Respectfully submitted,

ADAMS & WILKS  
Attorneys for Applicants

By: 

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MAILING CERTIFICATE

I hereby certify that this correspondence  
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20231, on the date indicated below.

  
Bruce L. Adams

Attorney Name

Signature

August 21, 2001

Date

Reference Number 97000519

Dispatch Number 191434

Dispatch Date 07/03/01

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**Notification of Reason(s) for Refusal**

Patent Application No.	Patent application No. H09-235233
Drafting Date	06/21/01
Examiner of JPO	kouji Shimohara 9179 3V00
Representative / Applicant	Keinosuke Hayashi
Applied Provision	Patent Law Section 29(2)

This application should be refused for the reason mentioned below.  
If the applicant has any argument against the reason, such argument should be submitted within 60 days from the date on which this notification was dispatched.

**Reason**

The invention(s) in the claim(s) listed below of the subject application should not be granted a patent under the provision of Patent Law Section 29(2) since it could have easily been made by persons who have common knowledge in the technical field to which the invention(s) pertains, on the basis of the inventions described in the publication(s) listed below which was distributed in Japan or foreign countries prior to the filing of the subject application.

**Note**

Claims 1 to 9

Cited references, etc. 1, 2

In the invention described in the primary cited reference as well, the resonance plate having insulated coating is a component member of the ultrasonic motor.

In the invention described in the secondary cited reference as well, the disk-shaped insulator and the slider glued together are a component member of the ultrasonic motor to change the contact point of the rotary switch.

The list of cited documents etc.

1. JP, H06-319271, A

Continued

Continuation from the previous page

2. Microfilm of JP, S60-159239-U (JP, S62-67429-U, A)

If any reason for refusal is found later, it will be notified.

Contact address TEL:03-3581-1101, EXT: 3358 FAX:03-3501-0671

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Record of the result of prior art search

•Technical field(s) to be searched Int. Cl(7) H02N 2/00

This record is not a component of the reason for refusal.